

Attorney's Docket No.: 003801.P004

Patent

In re the Application of: Brad Handler

(inventor(s))

Application No.: 09/457,466

Filed: December 8, 1999

For: Integrated Auction

ASSISTANT COMMISSIONER FOR PATENTS

Washington, D.C. 20231

SIR: Transmitted herewith is an Amendment for the above application.

Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established by a verified statement previously submitted.

A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27 is enclosed.

No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1)		(Col. 2)	(Col. 3)
	Claims Remaining After Amd.		Highest No. Previously Paid For	Present Extra
Total Claims	* 6	Minus	** 6	0
Indep. Claims	* 4	Minus	*** 4	0
<input type="checkbox"/>	First Presentation of Multiple Dependent Claim(s)			

SMALL ENTITY	
Rate	Additional Fee
X9	\$
X40	\$ 0
+130	\$ 0
Total Add. Fee	\$ 0

OTHER THAN A SMALL ENTITY	
Rate	Additional Fee
X18	\$ 0.00
X80	\$ 0.00
+260	\$
Total Add. Fee	\$ 0.00

\* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

**FIRST CLASS CERTIFICATE OF MAILING**

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on July 27, 2001

Date of Deposit

Kendra R. Borden

Name of Person Mailing Correspondence

K. Borden

Signature

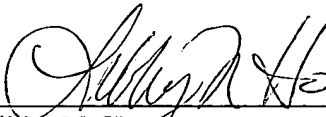
7/27/01

Date

A check in the amount of \$            is attached for presentation of additional claim(s).  
  X   Applicant(s) hereby Petition(s) for a one month Extension of Time pursuant to  
37 C.F.R. § 1.136(a).  
  X   A check for \$ 110.00 is attached for processing fees under 37 C.F.R. § 1.17.  
       Please charge my Deposit Account No. 02-2666 the amount of \$           .  
**A duplicate copy of this sheet is enclosed.**  
  X   The Commissioner of Patents and Trademarks is hereby authorized to charge payment  
of the following fees associated with this communication or credit any overpayment to  
Deposit Account No. 02-2666 **(a duplicate copy of this sheet is enclosed):**  
      X   Any additional filing fees required under 37 C.F.R. § 1.16 for presentation  
          of extra claims.  
      X   Any extension or petition fees under 37 C.F.R. § 1.17.

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 07/27/01

  
\_\_\_\_\_  
Libby N. Ho  
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#10

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PTO/SB/17(11-00)  
Approved for use through 10/31/2002. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE**FEE TRANSMITTAL FOR FY 2001****TOTAL AMOUNT OF PAYMENT (\$)** 290.00

Complete if Known:

Application No. 09/457,466Filing Date December 08, 1999First Named Inventor HandlerGroup Art Unit 2161Examiner Name Tran, T.Attorney Docket No. 003801.P004**METHOD OF PAYMENT (check one)**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name \_\_\_\_\_

- ☐
- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☐
- Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:
- ☒
- Check
- 
- \_\_\_\_\_
- 
- \_\_\_\_\_
- 
- \_\_\_\_\_
- 
- \_\_\_\_\_
- 
- Credit Card
- 
- Money Order
- 
- Other

**FEE CALCULATION**1. **BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)		
101	710	201	355	Utility application filing fee	_____
106	320	206	160	Design application filing fee	_____
107	490	207	245	Plant filing fee	_____
108	710	208	355	Reissue filing fee	_____
114	150	214	75	Provisional application filing fee	_____

SUBTOTAL (1) \$ \_\_\_\_\_

2. **EXTRA CLAIM FEES**

		Extra Claims		Fee from below	Fee Paid
Total Claims	_____	- 20** =	_____		
Independent Claims	_____	- 3** =	_____	X	_____
Multiple Dependent	_____			X	_____
					_____

\*\*Or number previously paid, if greater; For Reissues, see below.

Large Entity		Small Entity		Fee Description
Code	Fee (\$)	Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**R issue independent claims over original patent
110	18	210	9	**R issue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 0.00**FEE CALCULATION (continued)**Docket No.: 003801.P004  
Application No.: 09/457,466

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	\$ 110.00
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	\$ 180.00
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	For filing a submission after final rejection (see 37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (see 37 CFR 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify)					
Other fee (specify)					

**SUBTOTAL (3) \$ 290.00**

\*Reduced by Basic Filing Fee Paid

#### SUBMITTED BY:

Typed or Printed Name: Lobby N. Ho

Signature: [Signature]

Reg. Number: 46774

Telephone Number: 301-760-0990

FIRST CLASS CERTIFICATE OF MAILING

Date: 07/27/01 (37 C.F.R. § 1.8 (a))

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Date of Deposit: 7/27/01

Kendra Borden  
Name of Person Mailing Correspondence

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K. Borden 7/27/01  
Signature Date